

Governance, risk & compliance

Controls that survive an audit, not just a checklist.



BEST FIT An audit or a board question	ANCHOR LENGTH 4–6 weeks	WAY IN Governance gap check	STARTS WITH Shadow Scanner
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01 THE PROBLEM

Policy written to pass a questionnaire fails the moment someone asks for evidence. And a control built for a person clicking a button does not cover an agent running unattended at 3 a.m.

02 WHERE IT LIVES

AI STACK	DELIVERY STAGE
Applications	Design & assess
Delivery & lifecycle	Implement
Models	Enable
Orchestration & runtime	Monitor
Data platform	
Infrastructure	Iterate

03 METHODOLOGY

Inventory → Map → Control → Evidence

- 1 Inventory**
Every AI system listed and classified by risk, including the ones nobody approved.
- 2 Map obligations**
EU AI Act exposure, state-level US rules and SOC 2 mapped to what applies to you.
- 3 Build controls**
Approval and spend limits enforced in the system, each one testable.
- 4 Evidence & rehearse**
Logs that answer "which system, on whose authority", and a rehearsed response.

04 HOW WE DO IT · THE 808 REPORT

808 Control Heatmap

Obligations down the side, systems across the top. Each cell shows whether the control is tested, partial or missing, so the gaps an auditor will find are visible first.

SAMPLE · FICTIONAL DEMO COMPANY · NOT CLIENT DATA

	CRM	SUPPORT	DEV	HR	AGENTS
Inventory	TESTED	TESTED	PARTIAL	TESTED	MISSING
Testable controls	TESTED	PARTIAL	PARTIAL	PARTIAL	MISSING
Spend limits	PARTIAL	TESTED	MISSING	PARTIAL	MISSING
Evidence trail	TESTED	PARTIAL	PARTIAL	MISSING	MISSING
Use policy	TESTED	TESTED	TESTED	PARTIAL	PARTIAL

■ TESTED ■ PARTIAL ■ MISSING

05 THE ENGAGEMENT

3.1 ENTRY AI Governance Gap Check Free diagnostic PLANNED	3.2 ANCHOR ENGAGEMENT Control Set & Evidence Pack Fixed-fee engagement · 4–6 weeks PLANNED	3.3 ANCHOR ENGAGEMENT AI Use Policy Template Productised template + half-day tailoring PLANNED	3.4 CONTINUING Audit Standby Retainer PLANNED
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06 THE OUTCOME

- A control set that holds when someone tests it
- Evidence you can produce without reconstruction
- An acceptable-use position staff have actually seen

MEASURED BY

Share of obligations with a tested control

THE TRADE-OFF

Enforced limits add friction. Some requests that went through on a credit card will now wait for approval. That is the control working.